

Pullback attractors for semilinear non-autonomous problem

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The problem

Let Ω be a bounded domain in \mathbb{R}^N with $N \geq 3$, which boundary $\partial\Omega$ is sufficiently regular. We consider the following initial-boundary value problem

$$\begin{cases} u_{tt} - \Delta u + u + \eta(-\Delta)^{\frac{1}{2}}u_t + a_\epsilon(t)(-\Delta)^{\frac{1}{2}}v_t = f(u), \\ v_{tt} - \Delta v + \eta(-\Delta)^{\frac{1}{2}}v_t - a_\epsilon(t)(-\Delta)^{\frac{1}{2}}u_t = 0, \end{cases} \quad (1)$$

$(x, t) \in \Omega \times (\tau, \infty)$, where η is a positive constant, subject to boundary conditions

$$u = v = 0, \quad (x, t) \in \partial\Omega \times (\tau, \infty), \quad (2)$$

and initial conditions

$$\begin{aligned} u(\tau, x) &= u_0(x), \quad u_t(\tau, x) = u_1(x), \\ v(\tau, x) &= v_0(x), \quad v_t(\tau, x) = v_1(x), \quad x \in \Omega, \quad \tau \in \mathbb{R}. \end{aligned} \quad (3)$$

Assume that the function $a_\epsilon: \mathbb{R} \rightarrow (0, \infty)$ is continuously differentiable in \mathbb{R} and satisfies the following condition:

$$0 < a_0 \leq a_\epsilon(t) \leq a_1, \quad (4)$$

for all $\epsilon \in [0, 1]$ and $t \in \mathbb{R}$, with positive constants a_0 and a_1 , and we also assume that the first derivative of a_ϵ is uniformly bounded in t and ϵ , that is, there exists a constant $b_0 > 0$ such that

$$|a'_\epsilon(t)| \leq b_0 \quad \text{for all } t \in \mathbb{R}, \epsilon \in [0, 1]. \quad (5)$$

Furthermore, we assume that a_ϵ is (β, C) -Hölder continuous, for each $\epsilon \in [0, 1]$; that is,

$$|a_\epsilon(t) - a_\epsilon(s)| \leq C|t - s|^\beta \quad (6)$$

for all $t, s \in \mathbb{R}$ and $\epsilon \in [0, 1]$.

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for all $t, s \in \mathbb{R}$ and $\epsilon \in [0, 1]$. Concerning the nonlinearity f , we assume that $f \in C^1(\mathbb{R})$ and it satisfies the dissipativeness condition

$$\limsup_{|s| \rightarrow \infty} \frac{f(s)}{s} \leq 0, \quad (7)$$

and also satisfies the subcritical growth condition given by

$$|f'(s)| \leq c(1 + |s|^{\rho-1}), \quad (8)$$

for all $s \in \mathbb{R}$, where $1 < \rho < \frac{n}{n-2}$, with $n \geq 3$, and $c > 0$ is a constant.

In the case that $a_\epsilon(t) \equiv a$, the system (1) represents the autonomous version of the Klein-Gordon-Zakharov system. Within the autonomous case, if $n = 3$ then the Klein-Gordon-Zakharov system arises to describe the interaction of a Langmuir wave (Plasma oscillations, are rapid oscillations of the electron density in conducting media such as plasmas or metals in the ultraviolet region) and acoustic wave in a plasma.

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These types of systems have been considered by many researchers in recent years.

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For more details see [**Bonotto, Nascimento and Santiago**, Long-time behaviour for a non-autonomous Klein-Gordon-Zakharov system, Journal of Mathematical Analysis and Applications, **506** (2022), 125670.

Basic Concepts

Suppose that we have a non-autonomous differential equations in a Banach space X

$$\frac{du}{dt} = f(t, u), \quad u(s) = u_0,$$

with a unique solution $u(t, s, u_0)$. Note that the initial time has a very important role because we have an explicit dependence on time of f . This time dependence may appear in external force, in the operator, **in both at the same time** or even on the boundary conditions.

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- ❶ forward dynamic: the behavior when final time goes to infinity:

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- ❷ **pullback dynamics**: the behavior when the initial time goes to minus infinity:

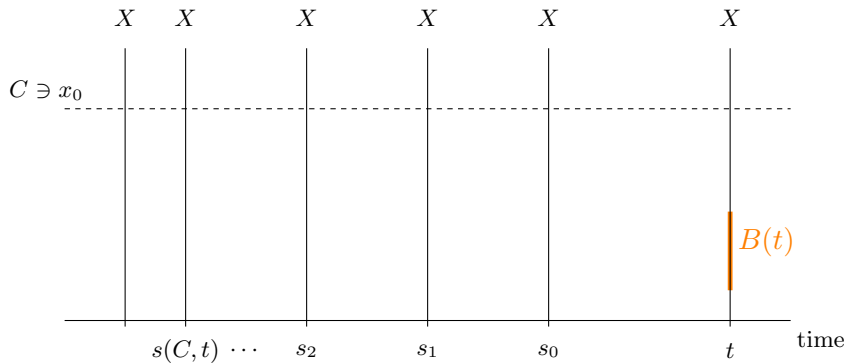
$$\lim_{s \rightarrow -\infty} u(t, s, u_0).$$

AUTONOMOUS	\longleftrightarrow	NONAUTONOMOUS
Semigroup $T(t)$		Process $U(t, s)$
exponential decay $\ T(t)\ \leq e^{-\beta t}$		exponential stability $\ U(t, s)\ \leq e^{-\beta(t-s)}$
Invariance $T(t)A = A$		Invariance $U(t, s)A(s) = A(t)$
attraction $t \rightarrow \infty$		pullback attraction $s \rightarrow -\infty$

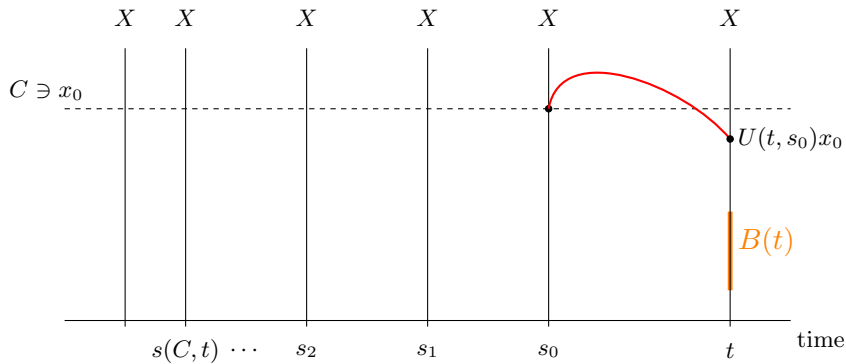
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For more details see [Carvalho, Langa and Robinson, Attractors for infinite-dimensional non-autonomous semantical systems, Springer, 2012.]

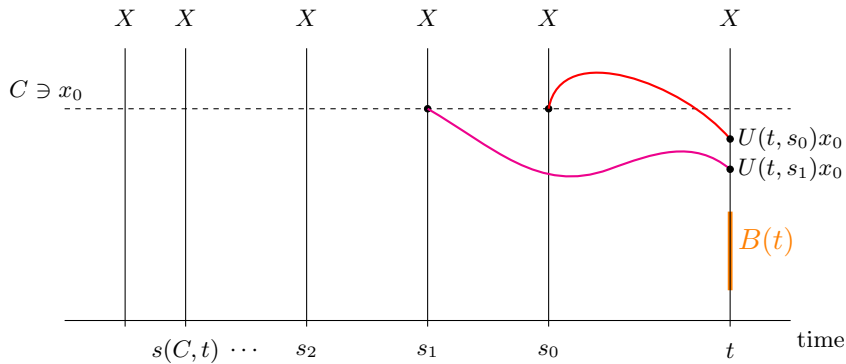
Pullback attraction



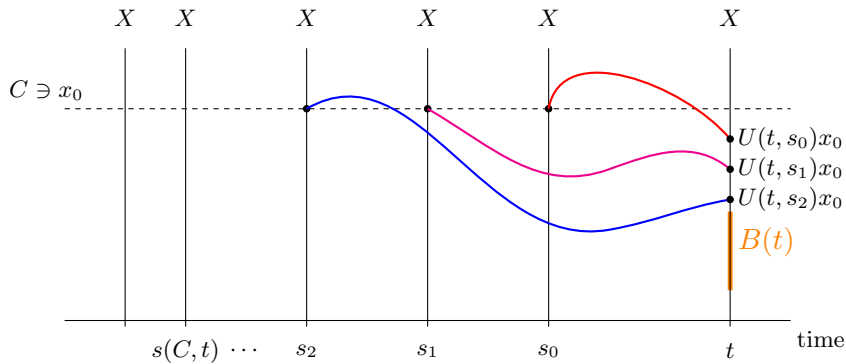
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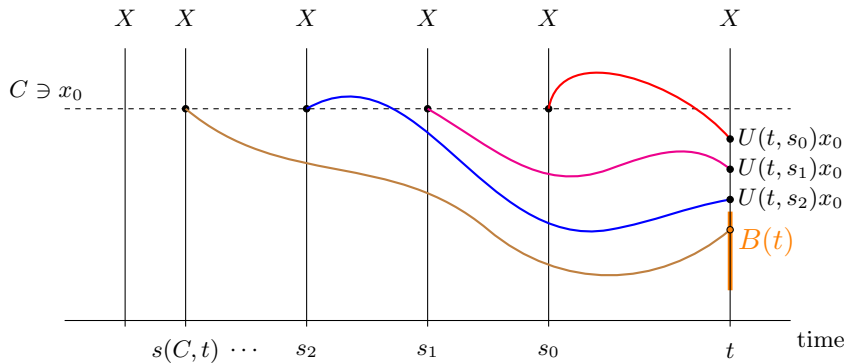
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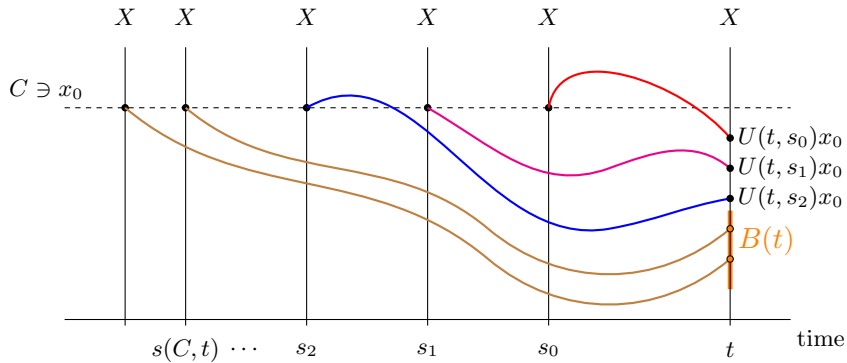
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Singularly non-autonomous abstract problem

Here, $L(\mathcal{Z})$ will denote the space of linear and bounded operators defined in a Banach space \mathcal{Z} . Let $\mathcal{A}(t)$, $t \in \mathbb{R}$, be a family of unbounded closed linear operators defined on a fixed dense subspace D of \mathcal{Z} .

Consider the singularly non-autonomous **parabolic** problem

$$\begin{cases} \frac{du}{dt} + \mathcal{A}(t)u = 0, & t > \tau, \\ u(\tau) = I. \end{cases} \quad (9)$$

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We assume

- (a) The operator $\mathcal{A}(t) : D \subset \mathcal{Z} \rightarrow \mathcal{Z}$ is a closed densely defined operator (the domain D is fixed) and there is a constant $C > 0$ (independent of $t \in \mathbb{R}$) such that

$$\|(\lambda I + \mathcal{A}(t))^{-1}\|_{L(\mathcal{Z})} \leq \frac{C}{|\lambda| + 1}; \text{ for all } \lambda \in \mathbb{C} \text{ with } \operatorname{Re} \lambda \geq 0.$$

To express this fact we will say that the family $\mathcal{A}(t)$ is **uniformly sectorial**.

(b) There are constants $C > 0$ and $\epsilon_0 > 0$ such that, for any $t, \tau, s \in \mathbb{R}$,

$$\|[\mathcal{A}(t) - \mathcal{A}(\tau)]\mathcal{A}^{-1}(s)\|_{L(\mathcal{Z})} \leq C(t - \tau)^{\epsilon_0}, \quad \epsilon_0 \in (0, 1].$$

To express this fact we will say that the map $\mathbb{R} \ni t \mapsto \mathcal{A}(t)$ is **uniformly Hölder continuous**.

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Denote by \mathcal{A}_0 the operator $\mathcal{A}(t_0)$ for some $t_0 \in \mathbb{R}$ fixed. If \mathcal{Z}^α denotes the domain of \mathcal{A}_0^α , $\alpha > 0$, with the graph norm and $\mathcal{Z}^0 := \mathcal{Z}$, denote by $\{\mathcal{Z}^\alpha; \alpha \geq 0\}$ the fractional power scale associated with \mathcal{A}_0 (see **Henry** [Springer, 1981] and **Amann** [Birkhäuser Verlag, Basel, 1995]).

From (a), $-\mathcal{A}(t)$ is the infinitesimal generator of an analytic semigroup $\{e^{-\tau\mathcal{A}(t)} \in L(\mathcal{Z}) : \tau \geq 0\}$. Using this and the fact that $0 \in \rho(\mathcal{A}(t))$, it follows that

$$\|e^{-\tau\mathcal{A}(t)}\|_{L(\mathcal{Z})} \leq Ce^{-\delta\tau}, \quad \delta > 0, \tau \geq 0, t \in \mathbb{R},$$

and

$$\|\mathcal{A}(t)e^{-\tau\mathcal{A}(t)}\|_{L(\mathcal{Z})} \leq C\tau^{-1}e^{-\delta\tau}, \quad \delta > 0, \tau > 0, t \in \mathbb{R}.$$

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It follows from (b) that $\|\mathcal{A}(t)\mathcal{A}^{-1}(\tau)\|_{L(\mathcal{Z})} \leq C, \forall t, \tau \in I$, for all $I \subset \mathbb{R}$ bounded. Also, the semigroup $e^{-\tau\mathcal{A}(t)}$ generated by $-\mathcal{A}(t)$ satisfies the following estimate

$$\|e^{-\tau\mathcal{A}(t)}\|_{L(\mathcal{Z}^\beta, \mathcal{Z}^\alpha)} \leq M\tau^{\beta-\alpha},$$

where $0 \leq \beta \leq \alpha < 1 + \epsilon_0$.

Next we recall the definition of a linear evolution process associated with a family of operators $\{\mathcal{A}(t) : t \in \mathbb{R}\}$.

Definition 1

A family $\{L(t, \tau) : t \geq \tau \in \mathbb{R}\} \subset L(\mathcal{Z})$ satisfying

- 1) $L(\tau, \tau) = I$,
- 2) $L(t, \sigma)L(\sigma, \tau) = L(t, \tau)$, for any $t \geq \sigma \geq \tau$,
- 3) $\mathcal{P} \times \mathcal{Z} \ni ((t, \tau), u_0) \mapsto L(t, \tau)u_0 \in \mathcal{Z}$ is continuous,

where $\mathcal{P} = \{(t, \tau) \in \mathbb{R}^2 : t \geq \tau\}$, is called a *linear evolution process* (process for short) or *family of evolution operators*.

If the operator $\mathcal{A}(t)$ is uniformly sectorial and uniformly Hölder continuous, then there exists a linear evolution process $\{L(t, \tau) : t \geq \tau \in \mathbb{R}\}$ associated with $\mathcal{A}(t)$, which is given by

$$L(t, \tau) = e^{-(t-\tau)\mathcal{A}(\tau)} + \int_{\tau}^t L(t, s)[\mathcal{A}(\tau) - \mathcal{A}(s)]e^{-(s-\tau)\mathcal{A}(\tau)}ds,$$

that is solution of (9).

For more details see **Carvalho and Nascimento** [DCDS, 2009].

We consider the singularly non-autonomous abstract parabolic problem

$$\begin{cases} \frac{du}{dt} + \mathcal{A}(t)u = g(t, u), & t > \tau, \\ u(\tau) = u_0 \in D, \end{cases} \quad (10)$$

where the operator $\mathcal{A}(t)$ is uniformly sectorial and uniformly Hölder continuous and the nonlinearity g satisfies conditions which will be specified later.

Definition 2

Let $g : \mathbb{R} \times X^\alpha \rightarrow X^\beta$, $\alpha \in [\beta, \beta + 1)$ be a continuous function. We say that a function u is a (*local*) *solution* of (10) starting in $u_0 \in X^\alpha$, if $u \in C([\tau, \tau + t_0], X^\alpha) \cap C^1((\tau, \tau + t_0], X^\alpha)$, $u(\tau) = u_0$, $u(t) \in D(\mathcal{A}(t))$ for all $t \in (\tau, \tau + t_0]$ and (10) is satisfied for all $t \in (\tau, \tau + t_0)$.

Now we state the following abstract local well-posedness result.

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Now we state the following abstract local well-posedness result.

Theorem 3 (Caraballo et al. Nonlinear Analysis (2010))

Suppose that the family of operators $\mathcal{A}(t)$ is uniformly sectorial and uniformly Hölder continuous in X^β . If $g : \mathbb{R} \times X^\alpha \rightarrow X^\beta$, $\alpha \in [\beta, \beta + 1)$, is a Lipschitz continuous map in bounded subsets of X^α , then, given $r > 0$, there is a time $t_0 > 0$ such that for all $u_0 \in B_{X^\alpha}(0; r)$ there exists a unique solution $u(\cdot, \tau, u_0) \in C([\tau, \tau + t_0], X^\alpha) \cap C^1((\tau, \tau + t_0], X^\alpha)$ of the problem (10) starting in $u_0 \in X^\alpha$. Moreover, such solutions are continuous with respect to the initial data in $B_{X^\alpha}(0; r)$.

Basic definitions and existence results

We start remembering the definition of Hausdorff semi-distance between two subsets A and B of a metric space (X, d) :

$$\text{dist}_H(A, B) = \sup_{a \in A} \inf_{b \in B} d(a, b).$$

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Next we present several definitions about theory of pullback attractors.

Definition 4

Let $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ be an evolution process in a metric space X . A set $B(t) \subset X$ **pullback attracts** a set C at time t under $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ if

$$\lim_{\tau \rightarrow -\infty} \text{dist}_X(S(t, \tau)C, B(t)) = 0,$$

where $S(t, \tau)C := \{S(t, \tau)x \in X : x \in C\}$.

Definition 5

We say that an evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ in X is **pullback strongly bounded** if, for each $t \in \mathbb{R}$ and each bounded subset B of X ,

$$\bigcup_{\tau \leq t} \bigcup_{s \leq \tau} S(\tau, s)B$$

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Definition 6

An evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ in X is **pullback asymptotically compact** if, for each $t \in \mathbb{R}$, each sequence $\{\tau_n\}$ in $(-\infty, t]$ with $\tau_n \rightarrow -\infty$ as $n \rightarrow \infty$ and each bounded sequence $\{x_n\}$ in X such that $\{S(t, \tau_n)x_n\} \subset X$ is bounded, the sequence $\{S(t, \tau_n)x_n\}$ is relatively compact in X .

Definition 7

A family $\{\mathbb{A}(t): t \in \mathbb{R}\}$ of compact subsets of X is a **pullback attractor** for an evolution process $\{S(t, \tau): t \geq \tau \in \mathbb{R}\}$ if the following conditions hold:

- (i) $\{\mathbb{A}(t): t \in \mathbb{R}\}$ is invariant, that is, $S(t, \tau)\mathbb{A}(\tau) = \mathbb{A}(t)$ for all $t \geq \tau$,
- (ii) $\{\mathbb{A}(t): t \in \mathbb{R}\}$ pullback attracts bounded subsets of X , that is,

$$\lim_{\tau \rightarrow -\infty} d_X(S(t, \tau)B, \mathbb{A}(t)) = 0$$

for every $t \in \mathbb{R}$ and every bounded subset B of X , where $S(t, \tau)B = \{S(t, \tau)x: x \in B\}$ is the image of B under $\{S(t, \tau): t \geq \tau \in \mathbb{R}\}$, and

- (iii) $\{\mathbb{A}(t): t \in \mathbb{R}\}$ is the minimal family of closed sets satisfying property (ii).

In applications, to prove that a process has a pullback attractor we use the Theorem below, proved in **Caraballo et al.**, [**Nonlinear Anal.** (2010)] which gives a sufficient condition for existence of a pullback attractor.

Definition 8

An evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ in X is **pullback strongly bounded dissipative** if, for each $t \in \mathbb{R}$, there is a bounded subset $B(t)$ of X which pullback absorbs bounded subsets of X at time s for each $s \leq t$; that is, given a bounded subset B of X and $s \leq t$, there exists $\tau_0(s, B)$ such that $S(s, \tau)B \subset B(t)$, for all $\tau \leq \tau_0(s, B)$.

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Theorem 9 (CCLR, 2010)

*If an evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ in the metric space X is **pullback strongly bounded dissipative** and **pullback asymptotically compact**, then $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ has a **pullback attractor** $\{\mathbb{A}(t) : t \in \mathbb{R}\}$ with the property that $\bigcup_{\tau \leq t} \mathbb{A}(\tau)$ is bounded for each $t \in \mathbb{R}$.*

The next result gives sufficient conditions for pullback asymptotic compactness.

Theorem 10 (CCLR, 2010)

Let $\{S(t, s) : t \geq s \in \mathbb{R}\}$ be a pullback strongly bounded evolution process such that $S(t, s) = L(t, s) + U(t, s)$, where there exist a non-increasing function $k : \mathbb{R}^+ \times \mathbb{R}^+ \rightarrow \mathbb{R}$, with $k(\sigma, r) \rightarrow 0$ when $\sigma \rightarrow \infty$, and for all $s \leq t$ and $x \in X$ with $\|x\| \leq r$, $\|L(t, s)x\| \leq k(t - s, r)$, and $U(t, s)$ is compact. Then, the family of evolution process $\{S(t, s) : t \geq s \in \mathbb{R}\}$ is pullback asymptotically compact.

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For more details see [Carvalho, Langa and Robinson, Attractors for infinite-dimensional non-autonomous semantical systems, Springer, 2012.]

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$(x, t) \in \Omega \times (\tau, \infty)$, where η is a positive constant, subject to boundary conditions

$$u = v = 0, \quad (x, t) \in \partial\Omega \times (\tau, \infty), \quad (12)$$

and initial conditions

$$\begin{aligned} u(\tau, x) &= u_0(x), \quad u_t(\tau, x) = u_1(x), \\ v(\tau, x) &= v_0(x), \quad v_t(\tau, x) = v_1(x), \quad x \in \Omega, \quad \tau \in \mathbb{R}. \end{aligned} \quad (13)$$

In order to formulate the non-autonomous problem (1) – (3) in a nonlinear evolution process setting, we introduce some notations. Let $X = L^2(\Omega)$ and denote by $A: D(A) \subset X \rightarrow X$ the negative Laplacian operator, that is, $Au = (-\Delta)u$ for all $u \in D(A)$, where $D(A) = H^2(\Omega) \cap H_0^1(\Omega)$. Thus A is a positive self-adjoint operator in X with compact resolvent and, therefore, $-A$ generates a compact analytic semigroup on X . Following **Henry** [Springer, 1981]), A is a sectorial operator in X . Now, denote by X^α , $\alpha > 0$, the fractional power spaces associated with the operator A ; that is, $X^\alpha = D(A^\alpha)$ endowed with the graph norm. With this notation, we have $X^{-\alpha} = (X^\alpha)'$ for all $\alpha > 0$, see **Amann** (Birkhäuser Verlag, 1995).

In this framework, the non-autonomous problem (1) – (3) can be rewritten as an ordinary differential equation in the following abstract form

$$\begin{cases} W_t + \mathcal{A}(t)W = F(W), & t > \tau, \\ W(\tau) = W_0, & \tau \in \mathbb{R}, \end{cases} \quad (14)$$

where $W = W(t)$, for all $t \in \mathbb{R}$, and $W_0 = W(\tau)$ are respectively given by

$$W = \begin{bmatrix} u \\ u_t \\ v \\ v_t \end{bmatrix} \quad \text{and} \quad W_0 = \begin{bmatrix} u_0 \\ u_1 \\ v_0 \\ v_1 \end{bmatrix},$$

and, for each $t \in \mathbb{R}$, the unbounded linear operator $\mathcal{A}(t): D(\mathcal{A}(t)) \subset Y \rightarrow Y$ is defined by

$$\mathcal{A}(t) \begin{bmatrix} u \\ v \\ w \\ z \end{bmatrix} = \begin{bmatrix} -v \\ (A + I)u + \eta A^{\frac{1}{2}}v + a_\epsilon(t)A^{\frac{1}{2}}z \\ -z \\ -a_\epsilon(t)A^{\frac{1}{2}}v + Aw + \eta A^{\frac{1}{2}}z \end{bmatrix} \quad (15)$$

for each $[u \ v \ w \ z]^T$ in the domain $D(\mathcal{A}(t))$ defined by the space

$$D(\mathcal{A}(t)) = Y^1 = X^1 \times X^{\frac{1}{2}} \times X^1 \times X^{\frac{1}{2}}, \quad (16)$$

where

$$Y = Y_0 = X^{\frac{1}{2}} \times X \times X^{\frac{1}{2}} \times X$$

is the phase space of the problem (1) – (3).

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$$Y = Y_0 = X^{\frac{1}{2}} \times X \times X^{\frac{1}{2}} \times X$$

is the phase space of the problem (1) – (3). The nonlinearity F is given by

$$F(W) = \begin{bmatrix} 0 \\ f^e(u) \\ 0 \\ 0 \end{bmatrix}, \quad (17)$$

where $f^e(u)$ is the Nemitskii operator associated with $f(u)$; that is,

$$f^e(u)(x) = f(u(x)), \quad \text{for all } x \in \Omega.$$

Now, we observe that the norms

$$\|(x, y, z, w)\|_1 = \|x\|_{X^{\frac{1}{2}}} + \|y\|_X + \|z\|_{X^{\frac{1}{2}}} + \|w\|_X$$

and

$$\|(x, y, z, w)\|_2 = (\|x\|_{X^{\frac{1}{2}}}^2 + \|y\|_X^2 + \|z\|_{X^{\frac{1}{2}}}^2 + \|w\|_X^2)^{\frac{1}{2}}$$

are equivalent in Y_0 . In this way, we shall use the same notation $\|(x, y, z, w)\|_{Y_0}$ for both norms and the choice will be as convenient.

In the abstract form our problem was written as

$$W_t + \mathcal{A}(t)W = F(W),$$

where

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$$\begin{aligned} \mathcal{E}(t) = & \frac{1}{2} \|u(t)\|_{X^{\frac{1}{2}}}^2 + \frac{1}{2} \|u(t)\|_X^2 + \frac{1}{2} \|u_t(t)\|_X^2 + \frac{1}{2} \|v(t)\|_{X^{\frac{1}{2}}}^2 \\ & + \frac{1}{2} \|v_t(t)\|_X^2 - \int_{\Omega} \int_0^u f(s) ds dx \end{aligned}$$

that is naturally defined in Y and we will show that $\mathcal{E}(t)$ decays along the solutions,

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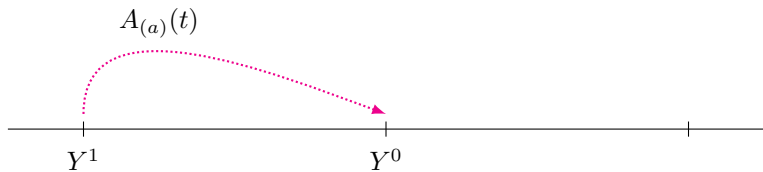
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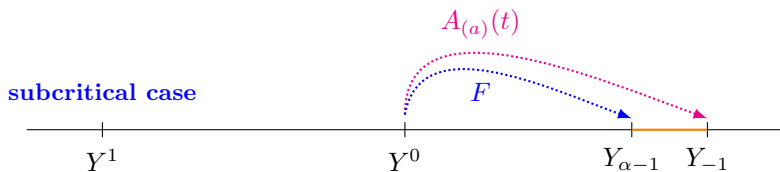
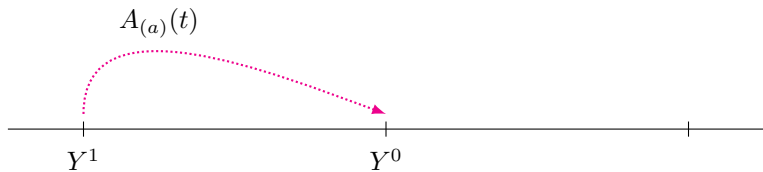
that is naturally defined in Y and we will show that $\mathcal{E}(t)$ decays along the solutions, and then we would like to write our problem in the form

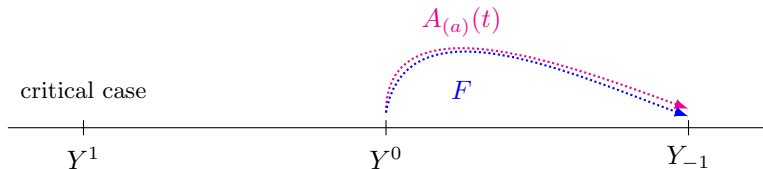
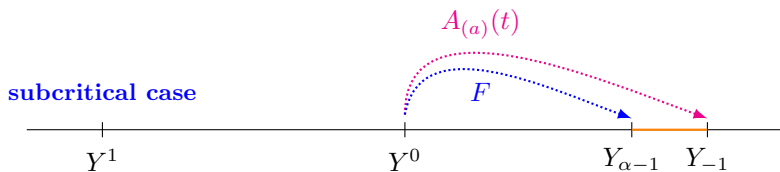
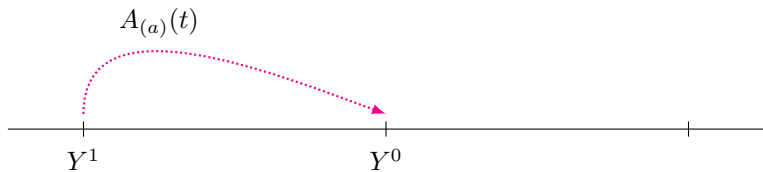
$$\mathcal{A}(t) : Y \rightarrow Y_{-1}$$

for some appropriate space Y_{-1} such that $Y \subset Y_{-1}$ and

$$F(\cdot) : Y \rightarrow Y_{\alpha-1} \hookrightarrow Y_{-1}, \quad (0 < \alpha < 1).$$







Theorem 11 (Well-Posedness)

Let $f \in C^1(\mathbb{R})$ be a function satisfying (7)-(8), assume conditions (4)-(6) hold and let $F: Y_0 \rightarrow Y_{\alpha-1} \subset Y_{-1}$ be defined in (17). Then for any initial data $W_0 \in Y_0$ the problem (14) has a unique global solution $W(t)$ such that

$$W(t) \in C([\tau, \infty), Y_0).$$

Moreover, such solutions are continuous with respect to the initial data on Y_0 . Here, $Y_{-1} = X \times X^{-\frac{1}{2}} \times X \times X^{-\frac{1}{2}}$.

Theorem 12 (Pullback Attractors)

Under the conditions of Theorem 11, the problem (1) – (3) has a pullback attractor $\{\mathbb{A}(t) : t \in \mathbb{R}\}$ in Y_0 and

$$\bigcup_{t \in \mathbb{R}} \mathbb{A}(t) \subset Y_0$$

is bounded.

Consider the linear problem associated with (1)-(3), in this case we consider the singularly non-autonomous linear parabolic problem

$$\begin{cases} w_t + \mathcal{A}(t)w = 0, & t > \tau, \\ w(\tau) = I, \end{cases} . \quad (18)$$

It is not difficult to see that $\det(\mathcal{A}(t)) = A(A + I)$, and therefore that $0 \in \rho(\mathcal{A}(t))$, for all $t \in \mathbb{R}$.

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It is not difficult to see that $\det(\mathcal{A}(t)) = A(A + I)$, and therefore that $0 \in \rho(\mathcal{A}(t))$, for all $t \in \mathbb{R}$. Moreover, for each $t \in \mathbb{R}$, the operator $\mathcal{A}^{-1}(t): Y_0 \rightarrow Y_0$ is defined by

$$\mathcal{A}^{-1}(t) \begin{bmatrix} u \\ v \\ w \\ z \end{bmatrix} = \begin{bmatrix} \eta A^{\frac{1}{2}}(A + I)^{-1} & (A + I)^{-1} & a_\epsilon(t)A^{\frac{1}{2}}(A + I)^{-1} & 0 \\ -I & 0 & 0 & 0 \\ -a_\epsilon(t)A^{-\frac{1}{2}} & 0 & \eta A^{-\frac{1}{2}} & A^{-1} \\ 0 & 0 & -I & 0 \end{bmatrix} \begin{bmatrix} u \\ v \\ w \\ z \end{bmatrix} . \quad (19)$$

Proposition 13

If Y_{-1} denotes the extrapolation space of $Y_0 = X^{\frac{1}{2}} \times X \times X^{\frac{1}{2}} \times X$ generated by the operator $\mathcal{A}^{-1}(t)$, then

$$Y_{-1} = X \times X^{-\frac{1}{2}} \times X \times X^{-\frac{1}{2}}.$$

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Recall that the extrapolation space Y_{-1} is the completion of the normed space $(Y, \|\mathcal{A}^{-1}(t) \cdot\|_Y)$.

Proposition 14

The family of operators $\{\mathcal{A}(t) : t \in \mathbb{R}\}$, defined in (15) – (16), is uniformly Hölder continuous in Y_{-1} .

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Proposition 14

The family of operators $\{\mathcal{A}(t) : t \in \mathbb{R}\}$, defined in (15) – (16), is uniformly Hölder continuous in Y_{-1} .

Proof: Using (6), this result follows immediately from (15) and (16). □

The next step is to show the analyticity of the semigroup $\{e^{-\tau\mathcal{A}(t)} : \tau \geq 0\}$.

Theorem 15

The semigroup $\{e^{-\tau\mathcal{A}(t)} : \tau \geq 0\}$, generated by $-\mathcal{A}(t)$, is analytic for each $t \in \mathbb{R}$.

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For the proof see [Bonotto, Nascimento and Santiago, JMAA (2022)].

Theorem 16

The operators $\mathcal{A}(t)$ are uniformly sectorial and the map $\mathbb{R} \ni t \mapsto \mathcal{A}(t) \in \mathcal{L}(Y_{-1})$ is uniformly Hölder continuous. Then, for each functional parameter a , there exist a process

$$\{L(t, \tau) : t \geq \tau \in \mathbb{R}\}$$

(or simply $L(t, \tau)$) associated with the operator $\mathcal{A}(t)$, that is solution of the linear problem associated with (1)-(3).

Remark 17

We have the following description of the fractional power scale for the operator $\mathcal{A}(t)$, given as follows

$$Y_0 \hookrightarrow Y_{\alpha-1} \hookrightarrow Y_{-1}, \quad \text{for all } 0 < \alpha < 1,$$

where

$$\begin{aligned} Y_{\alpha-1} &= [Y_{-1}, Y_0]_{\alpha} = [X \times X^{-\frac{1}{2}} \times X \times X^{-\frac{1}{2}}, X^{\frac{1}{2}} \times X \times X^{\frac{1}{2}} \times X]_{\alpha} \\ &= [X, X^{\frac{1}{2}}]_{\alpha} \times [X^{-\frac{1}{2}}, X]_{\alpha} \times [X, X^{\frac{1}{2}}]_{\alpha} \times [X^{-\frac{1}{2}}, X]_{\alpha} \\ &= X^{\frac{\alpha}{2}} \times X^{\frac{\alpha-1}{2}} \times X^{\frac{\alpha}{2}} \times X^{\frac{\alpha-1}{2}}, \end{aligned}$$

where $[\cdot, \cdot]_{\alpha}$ denotes the complex interpolation functor (see **Triebel** (1978)). The first equality follows from Proposition ?? (since $0 \in \rho(A_{(a)}(t))$) see **Amann** (Example 4.7.3 (b))] and the second equality follows from Proposition 2 in **Carvalho and Cholewa** (Bull. Austral. Math. Soc., (2002).

Existence of local solutions

Proposition 18 gives us sufficient conditions for $F: Y_0 \rightarrow Y_{\alpha-1}$ to be Lipschitz continuous in bounded subsets of Y_0 .

Proposition 18

Assume that $1 < \rho < \frac{n+2(1-\alpha)}{n-2}$, with $\alpha \in (0, 1)$. Then the map $F: Y_0 \rightarrow Y_{\alpha-1}$, defined in (17), is Lipschitz continuous in bounded subsets of Y_0 .

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Corollary 19

Let $1 < \rho < \frac{n+2(1-\alpha)}{n-2}$, with $\alpha \in (0,1)$, $f \in C^1(\mathbb{R})$ be a function satisfying (7)-(8), assume conditions (4)-(6) hold and let $F: Y_0 \rightarrow Y_{\alpha-1}$ be defined in (17). Then given $r > 0$, there exists a time $t_0 = t_0(r) > 0$ such that for all $W_0 \in B_{Y_0}(0,r)$, there exists a unique solution $W: [\tau, \tau + t_0] \rightarrow Y_0$ of the problem (14) starting in W_0 . Moreover, such solutions are continuous with respect to the initial data in $B_{Y_0}(0,r)$.

Proof of Theorem 11: By Corollary 19, the problem (1)-(3) has a local solution $(u(t), u_t(t), v(t), v_t(t))$ in Y_0 defined on some interval $[\tau, \tau + t_0]$.

We can show that

$$\frac{d}{dt}\mathcal{E}(t) = -\eta\|(-\Delta)^{\frac{1}{4}}u_t\|_X^2 - \eta\|(-\Delta)^{\frac{1}{4}}v_t\|_X^2 \quad (20)$$

for all $\tau < t \leq \tau + t_0$, where

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for all $\tau < t \leq \tau + t_0$, where

$$\begin{aligned} \mathcal{E}(t) = & \frac{1}{2}\|u(t)\|_{X^{\frac{1}{2}}}^2 + \frac{1}{2}\|u(t)\|_X^2 + \frac{1}{2}\|u_t(t)\|_X^2 \\ & + \frac{1}{2}\|v(t)\|_{X^{\frac{1}{2}}}^2 + \frac{1}{2}\|v_t(t)\|_X^2 - \int_{\Omega} \int_0^u f(s)dsdx \end{aligned} \quad (21)$$

is the total energy associated with the solution $(u(t), u_t(t), v(t), v_t(t))$ of the problem (1)-(3) in Y_0 .

The identity (20) means that the map $t \mapsto \mathcal{E}(t)$ is monotone decreasing along solutions. Moreover, using the property $\mathcal{E}(t) \leq \mathcal{E}(\tau)$ for all $\tau \leq t \leq \tau + t_0$, we can obtain a priori estimate of the solution $(u(t), u_t(t), v(t), v_t(t))$ in Y_0 . In fact, we obtain

$$\|u\|_{X^{\frac{1}{2}}}^2 + \|u_t\|_X^2 + \|v\|_{X^{\frac{1}{2}}}^2 + \|v_t\|_X^2 \leq 4 \left(\mathcal{E}(\tau) + C_{\frac{\lambda_1}{4}} \right),$$

that is,

$$\|(u(t), u_t(t), v(t), v_t(t))\|_{Y_0}^2 \leq 4 \left(\mathcal{E}(\tau) + C_{\frac{\lambda_1}{4}} \right).$$

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$$\|u\|_{X^{\frac{1}{2}}}^2 + \|u_t\|_X^2 + \|v\|_{X^{\frac{1}{2}}}^2 + \|v_t\|_X^2 \leq 4 \left(\mathcal{E}(\tau) + C_{\frac{\lambda_1}{4}} \right),$$

that is,

$$\|(u(t), u_t(t), v(t), v_t(t))\|_{Y_0}^2 \leq 4 \left(\mathcal{E}(\tau) + C_{\frac{\lambda_1}{4}} \right).$$

This ensures that the problem (1) – (3) has a global solution $W(t)$ in Y_0 , which proves the result. \square

Since the problem (1) – (3) has a global solution $W(t)$ in Y_0 , we can define an evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ in Y_0 by

$$S(t, \tau)W_0 = W(t), \quad t \geq \tau \in \mathbb{R}. \quad (22)$$

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According to **Carvalho and Nascimento** (DCDS-S 2009)

$$S(t, \tau)W_0 = L(t, \tau)W_0 + U(t, \tau)W_0, \quad t \geq \tau \in \mathbb{R}, \quad (23)$$

where $\{L(t, \tau) : t \geq \tau \in \mathbb{R}\}$ is the linear evolution process in Y_0 associated with the homogeneous problem

$$\begin{cases} W_t + \mathcal{A}(t)W = 0, & t > \tau, \\ W(\tau) = W_0, & \tau \in \mathbb{R}, \end{cases} \quad (24)$$

and

$$U(t, \tau)W_0 = \int_{\tau}^t L(t, s)F(S(s, \tau)W_0)ds. \quad (25)$$

Dissipativeness of the thermoelastic equation

In this section, we prove the existence of the pullback attractor of the problem (1)-(3). To this end, we need to make a modification on the energy functional. More precisely, for $\gamma_1, \gamma_2 \in \mathbb{R}_+$, let us define $L_{\gamma_1, \gamma_2} : Y_0 \rightarrow \mathbb{R}$ by the map

$$\begin{aligned} L_{\gamma_1, \gamma_2}(\phi, \varphi, \psi, \Phi) &= \frac{1}{2} \|\phi\|_{X^{\frac{1}{2}}}^2 + \frac{1}{2} \|\phi\|_X^2 + \frac{1}{2} \|\varphi\|_X^2 + \frac{1}{2} \|\psi\|_{X^{\frac{1}{2}}}^2 \\ &\quad + \frac{1}{2} \|\Phi\|_X^2 + \gamma_1 \langle \phi, \varphi \rangle_X + \gamma_2 \langle \psi, \Phi \rangle_X \\ &\quad - \int_{\Omega} \int_0^{\phi} f(s) ds dx. \end{aligned} \tag{26}$$

Theorem 20

There exists $R > 0$ such that for any bounded subset $B \subset Y_0$ one can find $t_0(B) > 0$ satisfying

$$\|(u, u_t, v, v_t)\|_{Y_0}^2 \leq R \quad \text{for all } t \geq \tau + t_0(B).$$

In particular, the evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ defined in (22) is pullback strongly bounded dissipative.

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In particular, the evolution process $\{S(t, \tau): t \geq \tau \in \mathbb{R}\}$ defined in (22) is pullback strongly bounded dissipative.

Next, we prove that the solutions of problem (14) are uniformly exponentially dominated when the initial data are in bounded subsets of Y_0 .

Theorem 21

Let $B \subset Y_0$ be a bounded set. If $W: [\tau, \infty) \rightarrow Y_0$ is the global solution of (14) starting at $W_0 \in B$, then there are positive constants $\sigma = \sigma(B)$, $K_1 = K_1(B)$ and $K_2 = K_2(B)$ such that

$$\|W(t)\|_{Y_0}^2 \leq K_1 e^{-\sigma(t-\tau)} + K_2, \quad t \geq \tau.$$

Theorem 22

Let $B \subset Y_0$ be a bounded set and denote by $L: [\tau, \infty) \rightarrow Y_0$ the solution of the homogeneous problem (24) starting in $W_0 \in B$. Then there exist positive constants $K = K(B)$ and ζ such that

$$\|L(t)\|_{Y_0}^2 \leq K e^{-\zeta(t-\tau)}, \quad t \geq \tau.$$

Theorem 22

Let $B \subset Y_0$ be a bounded set and denote by $L: [\tau, \infty) \rightarrow Y_0$ the solution of the homogeneous problem (24) starting in $W_0 \in B$. Then there exist positive constants $K = K(B)$ and ζ such that

$$\|L(t)\|_{Y_0}^2 \leq K e^{-\zeta(t-\tau)}, \quad t \geq \tau.$$

Proposition 23

For each $t > \tau \in \mathbb{R}$, the evolution process $S(t, \tau): Y_0 \rightarrow Y_0$ given in (22) is a compact map.

Proof of Theorem 12: Theorem 20 assures that the evolution process $S(t, \tau): Y_0 \rightarrow Y_0$ given by (22) is pullback strongly bounded dissipative. Additionally, it follows by Proposition 23 that $S(t, \tau): Y_0 \rightarrow Y_0$ is compact, and, consequently, it is pullback asymptotically compact. Now the result is a simple consequence of Theorem 9. □

Obrigado.